Atos Syntel

Accelerating Dodd-Frank Compliance Initiatives

The new Dodd-Frank financial regulations represent an important piece of financial legislation that has a major impact on financial institutions. The law tries to prevent future financial crises by forcing regulators to consider institutions in light of what they do — rather than what they are. The impact of the law could significantly change the way financial products are bought, sold and traded.

The major challenges for financial services companies are the soaring legal and technical costs required to transform their IT environments to comply with this legislation. Addressing these requirements will pose significant operational and technical challenges, but Atos Syntel's Dodd-Frank compliance solutions help you understand and quantify these impacts, prepare effectively and jump start the compliance process.

WHY US?

Atos Syntel has deep experience working with financial institutions to transform their technology investments to meet the challenges of the future.

Atos Syntel can help define a clear business vision and deliver solutions that can result in increased competitive advantage.

Atos Syntel's Dodd-Frank compliance solutions are designed by domain experts with the knowledge to create solutions that go beyond simple compliance to deliver real business value.

Atos Syntel's Dodd-Frank Compliance Solutions

Atos Syntel's dedicated set of Dodd-Frank tools helps clients assess their current state while leveraging their existing infrastructure. It also helps reduce complexity to ensure your enterprise is ready to comply with Dodd-Frank regulations.

Atos Syntel solutions are specially designed to accelerate compliance as well as streamline and simplify both management and ongoing maintenance costs. Key features of our solution include:

Advanced Tools
- In-house impact analyzer tool to highlight and categorize the magnitude of impact on business by title, subtitle and section
- Questionnaire and Scorecard to study the existing process
- Assessment Tool to check client readiness for Dodd-Frank compliance

Streamlined Process for Rapid Implementation
- Standardization and functionalization of processes
- Best practices implementation
- Continuous improvement initiatives
- Business Process Re-engineering (BPR)

Latest Technology for Expected Results
- ETL and Data Warehousing services
- End-to-End testing services
- Third-party product implementation
- Reporting and business intelligence
- Development and re-engineering
- Maintenance services

Skilled Professionals with Domain Expertise
- Dedicated Business Analysts and domain COEs
- Domain experts with a sound understanding of Dodd-Frank Act
- Focus on product knowledge for multiple platforms
- Specialized industry training programs, technology training and project management

Rich Experience in Compliance Solutions

As a provider of software services, Atos Syntel can provide solutions to meet any compliance and regulatory needs. Our Basel II Risk Data Repository and Data Warehouse solution helps you manage your data effectively. Moreover, the Reporting Architecture helps you in evaluating and redefining your existing IT landscape to comply with regulatory needs, and also in defining a new set of systems. These solutions ensure immediate, continuous as well as long-term advantages for you. Our solutions address your need for:

Basel II Risk Data Repository and Data Warehouse

- **Capture credit, market and operational risk-related data from various sources**
- Provide data services to regulatory and economic capital estimation models and Risk Management applications

Reporting Re-architecture

- **Consolidate reports and data extracts to a manageable number**
- **Provide a single global Custody-Client system**
- **Establish enterprise-wide data management and governance**

DataStage Development

- **ETL solutions that extract, validate and transform the data from source apart from the exception handling and audit process**

Dedicated Dodd-Frank Tools

TOOL NAME	FUNCTION
Point of View	• Provides a high-level summary of business area changes for each TITLE and SUBTITLE • Segmented by business area in Banking and Capital Markets
Impact Analyzer	• Documents the implication and impact on client's existing business by TITLE and SUBTITLE • Categorizes the magnitude of impact based on each SECTION
Gap Assessment Tool	• Questionnaire and scorecard that assesses existing processes based on each SUBTITLE and checks an institution's readiness to comply with Dodd-Frank • Delivers a Gap Report that summarizes areas to be addressed
Artifacts	• Atos Syntel domain experts investigate the key business changes due to Dodd-Frank • Each consultant documents the function and architecture of each sub-process and provides a summary of artifacts for the business area

Business Impact of Dodd-Frank

Legend: Low = green, Medium = yellow, High = red, N/A = blank

Title	Banking	Retail banking	Commercial Banking	Cards & Payments	Investment Banking	Asset Mgmt & Hedge funds	Credit Rating Agencies	Custodians	Market Utilities
I – Financial Stability					Medium	Medium		Medium	Low
II – Orderly Liquidation Authority									
III – Transfer of Powers to the Comptroller, FDIC & Feds									
IV – Regulation of Advisers to Hedge Funds & Others					High	High		High	Low
V – Insurance									
VI – Improvements to Regulation	Medium		Medium		High	Medium		Low	Low
VII – Wall Street Transparency &Accountability					High	High		High	Low
VIII – Payment, Clearing & Settlement Supervision	Medium	Medium	Medium	High	High	High		High	High
IX – Investor Protections & Securities Regulation	Low	Low	Low	Low	High	High	High		
X – Bureau of Consumer Financial Protection	High	High	High	High					Low
XI – Federal Reserve System Provisions									
XII – Improving Access to Mainstream Financial Institutions	Low	Low							
XIII – Pay It Back Act									
XIV – Mortgage Reform & Anti-Predatory Lending	High	High			High	High		Low	Low
XV – Miscellaneous Provisions									
XVI – Section 1256 Contracts						Low	Low		